

**SSE & SZSE Account Application Form**  
**滬港通及深港通開戶申請表**

Account Name 帳戶名稱 \_\_\_\_\_ Date 日期 \_\_\_\_\_  
Account No. 帳戶號碼 \_\_\_\_\_ Effective Date 生效日期 \_\_\_\_\_

I/We hereby confirm that I/We have read and fully understood the contents of the enclosed **Supplementary Terms and Conditions and Risk Disclosures of the Client Agreement – Shanghai - Hong Kong Stock Connect and Shenzhen – Hong Kong Connect** and other relevant documents and guidance, and I/We fully accept these terms as binding upon me/us and as having been incorporated into the Client Agreement. I/We also understand and accept the features, limitation and risks of **Shanghai - Hong Kong Stock Connect and Shenzhen – Hong Kong Connect** and the services to be provided to me/us in connection with it. Please provide access to the Northbound trading services for my/our Securities Trading Account(s).

本人/吾等據此確認，本人/吾等已經閱讀及完全理解隨附的**滬港通及深港通－客戶協議之補充條款及條件和風險披露**，以及其他相關文件和指引，並且本人/吾等完全接受該等條款對本人/吾等的約束力，猶如其已獲納入客戶協議。本人/吾等亦理解及接受滬港通及深港通的特點、限制和風險及就此向本人/吾等提供的服務。請為本人/吾等的證券交易賬戶提供滬股及深股交易服務

**Please tick the appropriate box and delete whichever is inapplicable 請在適當空格加✓及請刪去不適用者**

General Investor 一般投資者

Institutional Professional Investor 機構專業投資者 \*\*

\*\* “Institutional Professional Investor” or “IPI” means a person falling under paragraphs (a) to (i) of the definition of “professional investors” in section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance (Cap. 571).

“機構專業投資者”或“IPI”指“證券及期貨條例”（第571章）附表1第1部第1條中“專業投資者”定義的（a）至（i）。

Please confirm us the above application form by signing and returning. The original copy should, whenever possible, be returned to us at address: 37/F, Times Tower, 393 Jaffe Road, Wan Chai, Hong Kong.

請簽署以示同意本文件，然後將本文件以郵寄至：香港灣仔謝斐道 393 號新時代中心 37 樓。

Please sign to confirm  
簽署以示同意

(For Office Use Only)
Acknowledged by:
Approved by:
Input/Check by:
Signature Verified by:

Client's Signature 客戶簽署

**For Joint A/C: Both account holders must sign; For Corp A/C: Must sign with company chop**  
如屬聯名帳戶，所有戶口持有人均須簽署；如屬公司帳戶，請加蓋公司印鑑